

## REMARKS

Applicants appreciate the courtesies extended by Examiner Taofiq A. Solola to his representatives, Allan Fanucci and Evert Uy, during an interview on June 28, 2005. The comments appearing herein are substantially the same as those presented and discussed during the interview.

Claims 1-6 and 8-24 appear in this application for the Examiner's review and consideration.

Claims 1-10, 15-16 and 18-24 were rejected under 35 U.S.C. § 102(b) as anticipated by U.S. Patent No. 5,284,867 to Kloog et al. ("Kloog") for the reasons set forth on page 2 of the Office Action.

Kloog discloses the compound HU-211 (dexanabinol), *i.e.* the (3S,4S) enantiomer of 1,1-dimethylheptyl-(3S,4S)-7-hydroxy- $\Delta^6$ -tetrahydrocannabinol, which is 'essentially free' of the (3R,4R) enantiomer. The Examiner's position is that the compound of Kloog has an enantiomeric excess of 99.90% over the (3R,4R) enantiomer, since there is no evidence or showing to the contrary. Applicants respectfully disagree. As stated in the Specification, the crystallization performed in the last step of the synthesis of dexanabinol is crucial for the purity of the final product. Specifically, the product of the last step is recrystallized from acetonitrile and then from a 1:1.2 water : ethanol mixture (*See* Specification at page 20, lines 5-8).

Pure materials are novel *vis-à-vis* less pure or impure materials because there is a difference between pure and impure materials (MPEP 2144.04 (VII)). The claimed compound is purer than that disclosed in Kloog. Kloog does not teach any method for recrystallization or other purification method of the product, and in fact does not at all address the importance of the final crystallization step in achieving the enantiomeric purity required for pharmaceutical or clinical grade material. The compound of Kloog simply does not meet the high degree of purity required by the instant claims.

Accordingly, Applicants respectfully request that the rejection under 35 U.S.C. § 102(b) be reconsidered and withdrawn. At the interview, the Examiner agreed and stated that the rejection would be withdrawn.

Claims 1-24 were rejected under 35 U.S.C. § 103(a) as obvious over Kloog for the reasons set forth on pages 3-4 of the Office Action.

Kloog does not disclose or suggest a dexanabinol having an enantiomeric purity of at least 99.90%, as is required by the instant claims. The Specification clearly

addresses the unexpected advantages conferred by the high degree of purity of the claimed compound. Specifically, as stated in the Specification, it is known that the psychotropic activity of cannabinoids resides in the natural (3R,4R) enantiomers, while the opposite synthetic (3S,4S) enantiomers are free of these undesirable effects. Thus, in order to exploit the therapeutic value of cannabinoids, the highly undesirable psychoactive effects would have to be "neutralized," for instance by preparation and selection of synthetic non-psychotropic enantiomers.

In the case of 1,1-dimethylheptyl-(3S,4S)-7-hydroxy- $\Delta^6$ -tetrahydrocannabinol this is especially crucial, since it has been shown that HU-210, the (3R,4R) enantiomer, is a thousand times more psychoactive than HU-211, the (3S,4S) enantiomer. The highly potent psychotropic effects of HU-210 therefore require that HU-211 should be of very high enantiomeric purity. Moreover, since clinical trials have shown that therapeutic dosages for humans are quite high and range from tens to hundreds of milligrams per subject, a pharmaceutically useful HU-211 must be of enantiomeric purity that is higher than any reported previously.

To achieve this higher degree of enantiomeric purity, Applicants have discovered both improved synthetic procedures to allow for the preparation of HU-211 on a commercial scale with an absolute purity above 98% with less than 0.05% of HU-210, and improved analytical methods to directly assess the amount of each enantiomer, which permits the accurate calculation of the enantiomeric excess.

As demonstrated in the Specification, Applicants have developed highly pure dexanabinol (HU-211) having an enantiomeric excess of at least 99.90% over the (3R,4R) enantiomer. The high degree of purity is conferred *inter alia* by designing special crystallization conditions which, as mentioned above, are not taught or suggested by Kloog. As shown in Table 2 on page 13, the resulting product has very high enantiomeric purity as expressed by an enantiomeric excess of over 99.90%. The compound of Kloog does not achieve this high degree of purity and accordingly does not possess the same advantages of the claimed compound, namely a pharmacologically useful compound which is essentially devoid of any psychotropic effects conferred by the (3R,4R) enantiomer.

Kloog, for instance, reports that the inventors discovered that HU-211 at about 25 mg/kg per body weight, administered most likely to mice, induced stereotypy, locomotor hyperactivity and tachycardia (Col. 5, lines 26-32). In contrast, the compound of the present invention was administered at single doses of 50 mg/kg in rats, 25 mg/kg in rabbits and 50

mg/kg in monkeys, with no observed adverse effects (Specification at page 33, lines 26-28). This difference clearly demonstrates that Kloog's HU-211 and the HU-211 claimed are significantly different compounds with different properties, which are attributable to the differences in enantiomeric purity. For example, the present compounds can be administered advantageously at higher doses without causing deleterious side effects.

Kloog provides only one example of how its HU-211 is prepared (*See Preparatory Example, Col. 6, lines 10-67*). As mentioned above, Kloog does not teach any method for recrystallization or other purification method of the product, which is considered critical to obtain the claimed enantiomeric excess. Moreover, the methods of preparing HU-211 at the time did not provide HU-211 with an enantiomeric excess of at least 99.90% over HU-210. For example, Little et al., "Stereochemical Effects of 11-OH- $\Delta^8$ -THC-Dimethylheptyl in Mice and Dogs," *Pharmacol. Biochem. Behav.* 52: 661-666, 1989 ("Little") states that HU-211 was prepared as described in Mechoulam et al., "Stereochemical Requirements for Cannabimimetic Activity," *NIDA Res. Monogr. Scr.* 79: 15-30, 1987. For the Examiner's convenience, Applicants submit herein a copy of Little.

At the time dexanabinol was first synthesized and tested, there were no analytical methods to directly assess the amount of HU-211 or HU-210. The tetrad assay used at the time lacked the analytical ability to determine the purity of the enantiomers. Cannabinoids produce a characteristic profile of in vivo effects in mice, including suppression of spontaneous activity, analgesia, hypothermia, and catalepsy. Measurement of these four properties, which is referred to as the tetrad assay, is generally used to measure the relative potency of CB<sub>1</sub> activating cannabinoids and is thought to be predictive of cannabinoids which are psychoactive in humans.

Little reports that 20 mg/kg of HU-211 administered intraveneously to mice reduced spontaneous activity from 73±9 interruptions of photocell beam in vehicle treated animals down to 45±14 (Table 1). Even 10 mg/kg of HU-211 causes significant hypothermia and induced a cataleptic response with 18±3% of the time spent immobile on the ring, compared to 4±3% for vehicle treated mice (Table 1). Since 10 mg/kg was the lowest dose of HU-211 used in this study, it is entirely possible that the HU-211 could have caused adverse effects in the mice even at lower doses. Moreover, a dose of just 1 mg/kg HU-211 caused sedation in dogs (Table 2).

In contrast, as already discussed above, the claimed HU-211 induced no adverse effects in single dose toxicity studies where the amounts of HU-211 were

significantly higher than those in Little. The "no observed adverse effect level" (NOAEL) in rats for the claimed HU-211 is at least five times higher than the dose causing adverse effects reported in Little. These results clearly indicate that HU-211 prepared according to known procedures was not pure enough and still contained unacceptably high amounts of HU-210.

The ability to generate a highly pure dexanabinol is crucial for cannabinoids in general, and particularly in the case of dexanabinol, due to the high psychotropic effects of the (3R,4R) enantiomer. Accordingly, a highly pure dexanabinol having an enantiomeric excess of at least 99.90% is not only novel, but also unobvious in view of Kloog. And despite this need, nothing in the prior art teaches how to achieve it. Thus, the present invention satisfies a need and provides a compound that heretofore was unavailable in the art. In view of the foregoing, the obviousness rejection has been overcome and should be withdrawn.

Accordingly, Applicants believe that the application is now in condition for allowance, early notice of which would be appreciated. Should any issues remain, the Examiner is invited to contact the undersigned attorney of record in an effort to expedite the processing of this application.

Respectfully submitted,

Date: 6/29/05

  
\_\_\_\_\_  
Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN  
CUSTOMER NO. 28765  
(212) 294-331

# Stereochemical Effects of 11-OH- $\Delta^8$ -THC-Dimethylheptyl in Mice and Dogs<sup>1</sup>

PATRICK J. LITTLE, DAVID R. COMPTON, RAPHAEL MECHOULAM<sup>2</sup>  
AND BILLY R. MARTIN<sup>3</sup>

Department of Pharmacology and Toxicology, Medical College of Virginia-Virginia Commonwealth University  
Box 613, Richmond, VA 23298-0613

Received 15 July 1988

LITTLE, P. J., D. R. COMPTON, R. MECHOULAM AND B. R. MARTIN. *Stereochemical effects of 11-OH- $\Delta^8$ -THC-dimethylheptyl in mice and dogs*. PHARMACOL BIOCHEM BEHAV 32(3) 661-666, 1989.—The effects of the enantiomers of 11-hydroxy- $\Delta^8$ -tetrahydrocannabinol-dimethylheptyl (11-OH- $\Delta^8$ -THC-DMH) on spontaneous activity, rectal temperature, tail-flick latency, and catalepsy were studied in mice and in the dog static-ataxia model to determine the relative potency of each enantiomer. The (−)-enantiomer was active in all tests between 3–100  $\mu$ g/kg, while the (+)-enantiomer was inactive at 30 mg/kg in the mouse and 1 mg/kg in the dog. The (−)-enantiomer was 100–800 times more potent than  $\Delta^9$ -THC in the mouse. The high degree of enantioselectivity and potency are suggestive of an interaction at a specific site such as a receptor.

11-OH- $\Delta^8$ -THC-DMH	$\Delta^9$ -THC	Stereoselectivity	Spontaneous activity	Hypothermia	Analgesia
Catalepsy	Static ataxia				

$\Delta^9$ -TETRAHYDROCANNABINOL ( $\Delta^9$ -THC), generally accepted as the major psychoactive constituent in marijuana, possesses a wide spectrum of pharmacological effects, some of which are unique to the cannabinoids. The mechanism(s) by which  $\Delta^9$ -THC exerts its pharmacological effects have not been clearly elucidated (10). There are many hypotheses concerning the precise mechanism(s) of action of  $\Delta^9$ -THC. These hypotheses generally are supportive of either a nonspecific membrane perturbation or favor a highly specific site of action (i.e., a receptor). There are several lines of evidence which suggest the possibility of a specific cannabinoid receptor. These include the development of structure-activity relationships (11,14), the demonstration of stereoselectivity (4) and the demonstration of specific binding sites for cannabinoids in the brain (12). The degree of stereoselectivity reported for the naturally occurring (−)-enantiomers and synthetic (+)-enantiomers of  $\Delta^9$ - and  $\Delta^8$ -THC varies according to the test system and species used (4). (−)- $\Delta^9$ -THC has been reported to be 5–100 times more potent than its (+)-enantiomer while (−)- $\Delta^8$ -THC was 4–33 times more potent than (+)- $\Delta^8$ -THC (4). It should be stressed that the stereochemical purity of most synthetic (+)-cannabinoids has not been reported and it is likely that there are impurities consisting of the respective (−)-enantiomers. While the degree of stereoselectivity demonstrated with the cannabinoids is not as great as that seen with the opiates (6), it is comparable to that demonstrated

with stereoisomers of nicotine (9). Therefore, the degree of stereoselectivity demonstrated with  $\Delta^9$ - and  $\Delta^8$ -THC is consistent with the existence of a specific receptor. However, it is possible that a composite of biochemical and neurochemical effects are involved and that the different results between test systems reflect this fact.

Recently, the enantiomers of 11-hydroxy- $\Delta^8$ -THC, 1,1-dimethylheptyl homolog (11-OH- $\Delta^8$ -THC-DMH) were synthesized. They were obtained in crystalline form, apparently in essentially absolute stereochemical purity. These enantiomers were tested in the rat and pigeon for their discriminative stimulus properties and in the rat for antinociceptive and anticonvulsant properties (11). Since the stereoselectivity of cannabinoids apparently is species and model dependent, we examined the pharmacological effects of these enantiomers in mice for depression of spontaneous activity, hypothermia production, analgesia in the tail-flick procedure and for their ability to produce catalepsy. The enantiomers of 11-OH- $\Delta^8$ -THC-DMH were also tested in the dog static-ataxia model since this test is thought to be predictive of cannabinoids which are psychoactive in humans (14).

The lack of a specific antagonist of  $\Delta^9$ -THC has greatly hampered efforts to elucidate the mechanisms of action of the cannabinoids. The inactive (+)-enantiomer of 11-OH- $\Delta^8$ -THC-DMH was tested for its ability to block the effects of  $\Delta^9$ -THC in

<sup>1</sup>This work was supported by NIDA research grant DA-03672 and training grants DA-07027 and DA-05330.

<sup>2</sup>Present address: Department of Natural Products, Faculty of Medicine, The Hebrew University of Jerusalem, Jerusalem 91120 Israel.

<sup>3</sup>Requests for reprints should be addressed to Billy R. Martin, Ph.D.

the mouse behavioral paradigms. By examining the pharmacological profiles of the enantiomers of 11-OH- $\Delta^8$ -THC-dimethylheptyl and determining the degree of stereoselectivity which exists, insight into possible mechanisms of action of the cannabinoids may be gained.

#### METHOD

##### Subjects

Male ICR mice (Dominion Laboratories, Dublin, VA) weighing 24–30 g were used for all test procedures, and a minimum of 12 mice were utilized for each dose and time point. Mice were maintained on a 12-hr light/dark cycle and had free access to Purina Rodent Chow (Ralston Purina, St. Louis, MO) and water.

##### Drugs

$\Delta^9$ -THC was provided by the National Institute on Drug Abuse. The enantiomers of 11-OH- $\Delta^8$ -THC-DMH were synthesized as described elsewhere (11). All drugs were first dissolved in a 1:1 emulphor:ethanol solution, and diluted to the desired concentration with 0.9% saline to yield a final vehicle of 1:1:18 (emulphor:ethanol:saline). Mice were acclimated in the laboratory (ambient temperature 21–24°C) overnight. All drugs were administered intravenously (IV) in the tail vein with an injection volume of 0.1 ml/10 g of body weight, after injection each mouse was tested in all four procedures as described below.

##### Experimental Procedures for Mice

Mice were placed into individual photocell activity cages (28 × 16.5 cm) immediately after IV administration of the vehicle or cannabinoids. Mice were allowed to acclimate for 5 min and then interruptions of a single photocell beam were recorded for the next 10 min.

Rectal temperatures were determined prior to drug or vehicle administration with a telethermometer (Yellow Springs Instrument Co., Yellow Springs, OH) and a thermistor probe. Rectal temperatures were again measured 60 min after administration of the drug or vehicle. The dose required to produce a 3°C decrease in rectal temperature was determined by linear regression analysis of the dose-response data.

Tail-flick reaction time to a heat stimulus was determined following drug or vehicle administration using the method of D'Amour and Smith (1) as modified by Dewey *et al.* (2). Preinjection control values (2–4 sec) were determined for all animals. Mice were retested 20 min after IV administration of the drug or vehicle and the latency to the tail-flick response was recorded. A 10-sec maximum latency was set to prevent tissue damage. Data were recorded as change in latency between pre- and postinjection testing for each animal. Data were expressed as % maximum possible effect (% MPE) where % MPE was determined by the following method: [(test latency – control latency) ÷ (10 sec – test latency)] × 100.

Catalepsy was determined by using a slight modification of the ring test as developed by Pertwee (13). Mice were injected IV with either vehicle or cannabinoid and 1.5 hr after treatment were placed on a ring (5.5 cm dia.) which was attached to a ring stand at a height of 16 cm. Mice were rated for catalepsy by observers who were blind with regard to treatment. The amount of time (in seconds) in a 5 min test session in which the mouse was motionless (except for respiratory movements) was determined. Mice which either fell or actively jumped from the ring were allowed 5 such "escapes." If these "escapes" occurred before 2.5 min, the data were disregarded. An immobility index was determined by divid-

ing the amount of time spent motionless by the length of the test session (maximum 300 sec) and multiplying by 100.

##### Time Course

Mice were injected with either vehicle,  $\Delta^9$ -THC (10 mg/kg), or (–)-11-OH- $\Delta^8$ -THC-DMH (10 µg/kg) and tested in the above procedures. Groups of mice were tested at 5, 30, 60, 120, 240, 360, 480 min and 24 hr in the spontaneous activity procedure. The time course for the tail-flick procedure was determined at 20, 60, 120, 240, 360, and 480 min. Catalepsy was assessed at similar time points except the earliest time point which was 30 min, in addition a 24 hr assessment was also determined.

##### Antagonism Studies

The (+)-enantiomer was tested for its ability to attenuate the pharmacological effects of  $\Delta^9$ -THC by pretreating mice with 10 mg/kg (+)-11-OH- $\Delta^8$ -THC-DMH IV. This dose was chosen because it had little or no pharmacological effects on its own. After a 10 min latency  $\Delta^9$ -THC (6 mg/kg) was administered IV, and mice were tested in the above procedures.

##### Procedure for Dog Static-Ataxia

Male mongrel dogs weighing 9–13 kg were used for these studies. Dogs were brought to an observation room and allowed to acclimate for 15 min. Observers noted their normal activity, posture, gait, etc. Dogs were then injected IV with a volume of 0.2 ml/kg of body weight with either vehicle,  $\Delta^9$ -THC, or one of the enantiomers of 11-OH- $\Delta^8$ -THC-DMH. Their behavior was observed for 30 min and then rated (see Table 2) according to the Walton static ataxia scale as modified by Dewey *et al.* (3). Observers were blind with regard to treatment. Dogs were tested twice a week and dosing was counterbalanced such that no dog received the identical treatment on two consecutive test days in order to minimize the likelihood that tolerance would develop.

##### Data Analysis

$ED_{50}$  values with 95% confidence limits (C.L.) were determined for reduction in locomotor activity, for the production of analgesia using the %MPE, and for the production of catalepsy using the immobility index by the method of Litchfield and Wilcoxon (7). Statistical differences between vehicle and drug treatment was determined by the Dunnett's *t*-test. ANOVA with a Scheffe post hoc test was utilized to determine statistical differences between the treatment groups in the time course and antagonism experiments.

## RESULTS

### Effects of the Enantiomers of 11-OH-DMH- $\Delta^8$ -THC in Mice

The effects of the enantiomers of 11-OH- $\Delta^8$ -THC-DMH on mouse locomotor activity, rectal temperature, tail-flick and catalepsy are shown in Table 1. The (–)-enantiomer was active in a dose-responsive manner in all tests between 3–100 µg/kg. Spontaneous activity was reduced with an  $ED_{50}$  value of 4 µg/kg. The (–)-enantiomer also decreased rectal temperature, since it was not possible to obtain  $ED_{50}$  values for the decrease in rectal temperature, the dose which lowered rectal temperature by 3°C (after vehicle effects (–0.5°C) were subtracted) was chosen for the comparison of the relative activity of the enantiomers. The (–)-enantiomer decreased rectal temperature by 3°C at 21 µg/kg as determined by linear regression analysis. The (–)-enantiomer

STEREOISOMERS OF 11-OH- $\Delta^8$ -THC-DMH

TABLE 1

BEHAVIORAL EFFECTS OF THE ENANTIOMERS OF  
11-OH- $\Delta^8$ -THC-DMH IN MICE

TRT	Vehicle	(-)-11-OH- $\Delta^8$ -THC-DMH		(+)-11-OH- $\Delta^8$ -THC-DMH	
		Spont.	Rect.	Spont.	Rect.
		73 $\pm$ 9	-0.5 $\pm$ 0.3	93 $\pm$ 12	73 $\pm$ 10
1 $\mu$ g/kg		86 $\pm$ 9	-0.3 $\pm$ 0.2	86 $\pm$ 10	86 $\pm$ 10
3 $\mu$ g/kg		26 $\pm$ 7	-3.8 $\pm$ 0.5	26 $\pm$ 7	26 $\pm$ 7
10 $\mu$ g/kg		15 $\pm$ 5	-2.8 $\pm$ 0.5	15 $\pm$ 5	15 $\pm$ 5
30 $\mu$ g/kg		10 $\pm$ 3	-4.0 $\pm$ 0.4	10 $\pm$ 3	10 $\pm$ 3
100 $\mu$ g/kg		2 $\pm$ 1	-6.3 $\pm$ 0.3	2 $\pm$ 1	2 $\pm$ 1
ED <sub>50</sub> $\mu$ g/kg (95% C.L.)		4(1-14)	-3°C at 21	9(2-39)	19(3-111)

(-)-11-OH- $\Delta^8$ -THC-DMH

1 $\mu$ g/kg	86 $\pm$ 9	-0.3 $\pm$ 0.2	0.8 $\pm$ 0.6/12%	13 $\pm$ 5
3 $\mu$ g/kg	26 $\pm$ 7	-3.8 $\pm$ 0.5	2.4 $\pm$ 1.2/32%	38 $\pm$ 5
10 $\mu$ g/kg	15 $\pm$ 5	-2.8 $\pm$ 0.5	3.6 $\pm$ 1.2/52%	45 $\pm$ 7
30 $\mu$ g/kg	10 $\pm$ 3	-4.0 $\pm$ 0.4	6.8 $\pm$ 0.3/100%	49 $\pm$ 6
100 $\mu$ g/kg	2 $\pm$ 1	-6.3 $\pm$ 0.3	4.6 $\pm$ 1.0/69%	71 $\pm$ 6

ED<sub>50</sub>  $\mu$ g/kg  
(95% C.L.)(+)-11-OH- $\Delta^8$ -THC-DMH

10 mg/kg	70 $\pm$ 19	-2.7 $\pm$ 0.4	0.2 $\pm$ 0.5/6%	18 $\pm$ 3
20 mg/kg	45 $\pm$ 14	-0.4 $\pm$ 0.5	-0.2 $\pm$ 0.1/0%	18 $\pm$ 7
30 mg/kg	45 $\pm$ 9	-1.8 $\pm$ 0.7	-0.2 $\pm$ 0.4/3%	23 $\pm$ 8
ED <sub>50</sub>	N.D.¶	N.D.	N.D.	N.D.
Selectivity	>7500	>1400	>3333	>1575

\*Spontaneous activity values are the number of interruptions of a photocell beam. †Rectal Temperature values are the change in pre- and post-drug rectal temperature. ‡Tail-flick values are the latency (in sec) for the tail-flick response and % MPE (10 sec max). §Catalepsy values are the % of time spent immobile on the ring. ¶N.D. ED<sub>50</sub> values were not able to be determined.

produced analgesia in mice as measured by the tail-flick test with an ED<sub>50</sub> value of 9  $\mu$ g/kg. The (-)-enantiomer produced catalepsy in mice with an ED<sub>50</sub> value of 19  $\mu$ g/kg. The (+)-enantiomer failed to produce dose-responsive effects in any of the test systems in the mouse.

Time Course for the Effects of (-)-11-OH- $\Delta^8$ -THC-DMH in Mice

The time courses for the reduction in locomotor activity and production of analgesia and catalepsy for (-)-11-OH- $\Delta^8$ -THC-DMH (10  $\mu$ g/kg),  $\Delta^9$ -THC (10 mg/kg), and vehicle are shown in Figs. 1-3. These doses were chosen because at the time of their peak effects the responses of either drug were comparable. The time course for spontaneous activity can be seen in Fig. 1.  $\Delta^9$ -THC and (-)-11-OH- $\Delta^8$ -THC-DMH produced maximal decreases in spontaneous activity (93 and 73% respectively) 5 min after injection. By 30 min there was no significant difference between the spontaneous activity of  $\Delta^9$ -THC and vehicle-treated mice. This remained true until the 4 and 6 hr time points at which times the activity of vehicle-treated mice were significantly

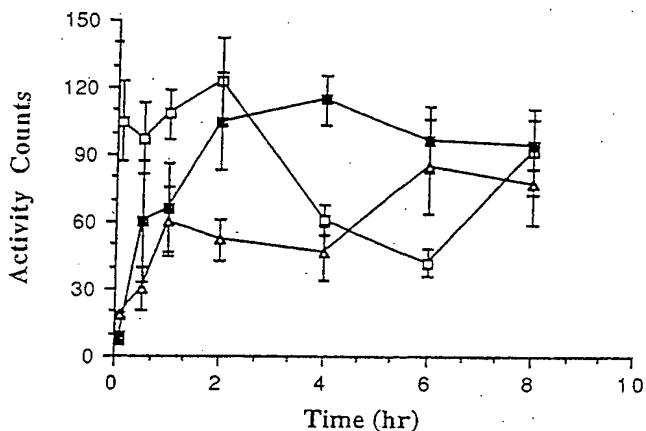


FIG. 1. Time course for the effects of vehicle (□), 10 mg/kg  $\Delta^9$ -THC (■) and 10  $\mu$ g/kg (-)-11-OH- $\Delta^8$ -THC-DMH (△) on spontaneous activity. The means  $\pm$  SE (N=12) are presented.

( $p$ <0.005) less than the activity of the mice treated with  $\Delta^9$ -THC. By 8 hr there were no significant differences between the vehicle- and  $\Delta^9$ -THC-treated mice. In contrast, the activity of mice treated with (-)-11-OH- $\Delta^8$ -THC-DMH remained significantly ( $p$ <0.05) depressed when compared to vehicle-treated mice up to 2 hr. By 4 hr there were no significant differences between the spontaneous activity in these two groups.

The time course for the production of catalepsy by  $\Delta^9$ -THC and (-)-11-OH- $\Delta^8$ -THC-DMH was similar up to 4 hr (Fig. 2). The peak effects occurred at 30 min, and the degree of catalepsy production did not differ significantly between  $\Delta^9$ -THC and (-)-11-OH- $\Delta^8$ -THC-DMH. At 4 hr the cataleptic response in mice treated with  $\Delta^9$ -THC decreased such that there was now a significant difference ( $p$ <0.005) between mice treated with (-)-11-OH- $\Delta^8$ -THC-DMH and  $\Delta^9$ -THC; however, the degree of catalepsy produced by  $\Delta^9$ -THC was still significantly ( $p$ <0.005) greater than that of vehicle-treated mice. The immobility index was not significantly different between vehicle- and  $\Delta^9$ -THC-treated mice at 6 hr, whereas it remained significantly elevated in

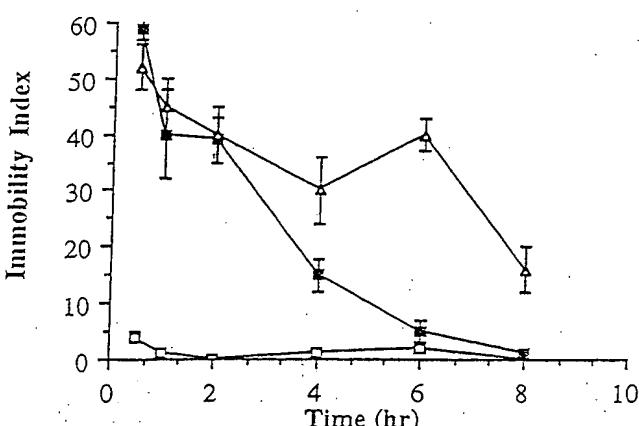


FIG. 2. Time course for the effects of vehicle (□), 10 mg/kg  $\Delta^9$ -THC (■) and 10  $\mu$ g/kg (-)-11-OH- $\Delta^8$ -THC-DMH (△) on production of catalepsy. The means  $\pm$  SE (N=12) are presented.

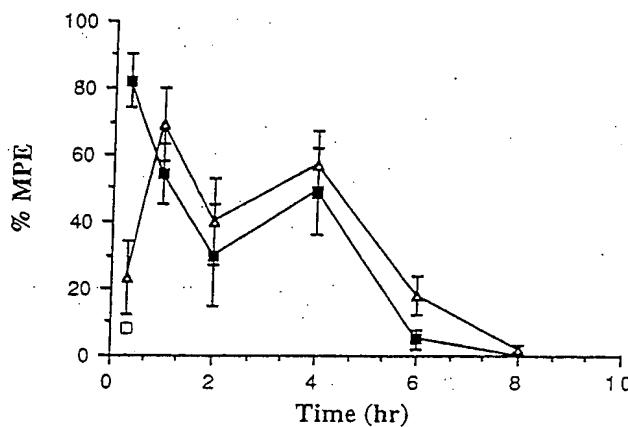


FIG. 3. Time course for the effects of vehicle (□), 10 mg/kg  $\Delta^9$ -THC (■) and 10  $\mu$ g/kg (-)-11-OH- $\Delta^9$ -THC-DMH (Δ) on tail-flick activity. The means  $\pm$  SE ( $N = 12$ ) are presented.

the (-)-11-OH- $\Delta^9$ -THC-DMH-treated mice at 6 and 8 hr. At 24 hr both treatments failed to produce catalepsy (data not shown).

The time course for the effects of (-)-11-OH- $\Delta^9$ -THC-DMH,  $\Delta^9$ -THC and vehicle for the tail-flick are shown in Fig. 3. The effects of the vehicle were only determined at 20 min, since

previous time course studies have shown the vehicle to be ineffective. The time course for the production of antinociception was similar for  $\Delta^9$ -THC and (-)-11-OH- $\Delta^9$ -THC-DMH with the exception that the peak effects occurred at different times. The peak analgesic effect of  $\Delta^9$ -THC was 20 min after injection, whereas the peak effect of (-)-11-OH- $\Delta^9$ -THC-DMH was at 1 hr. The analgesic effects of  $\Delta^9$ -THC and (-)-11-OH- $\Delta^9$ -THC-DMH remained significantly greater than that produced by vehicle up to 4 hr, but by 6 hr there were no significant differences between the three treatment groups.

#### Evaluation of the Antagonistic Properties of (+)-11-OH- $\Delta^9$ -THC-DMH in Mice

The effects of pretreatment with (+)-11-OH- $\Delta^9$ -THC-DMH on the activity of  $\Delta^9$ -THC in mice are shown in Fig. 4.  $\Delta^9$ -THC (6 mg/kg) produced a robust effect in all tests; however the pretreatment with (+)-11-OH- $\Delta^9$ -THC-DMH did not significantly attenuate any of the effects produced by  $\Delta^9$ -THC. In all cases (whether pretreated with a vehicle or (+)-11-OH- $\Delta^9$ -THC-DMH)  $\Delta^9$ -THC produced effects which were significantly different ( $p < 0.05$ ) than that of vehicle-treated groups.

#### Behavioral Effects of the Enantiomers of 11-OH- $\Delta^9$ -THC-DMH in the Dog

The effects of the enantiomers of 11-OH- $\Delta^9$ -THC-DMH,  $\Delta^9$ -THC, and vehicle on the overt behavior of dogs are shown in

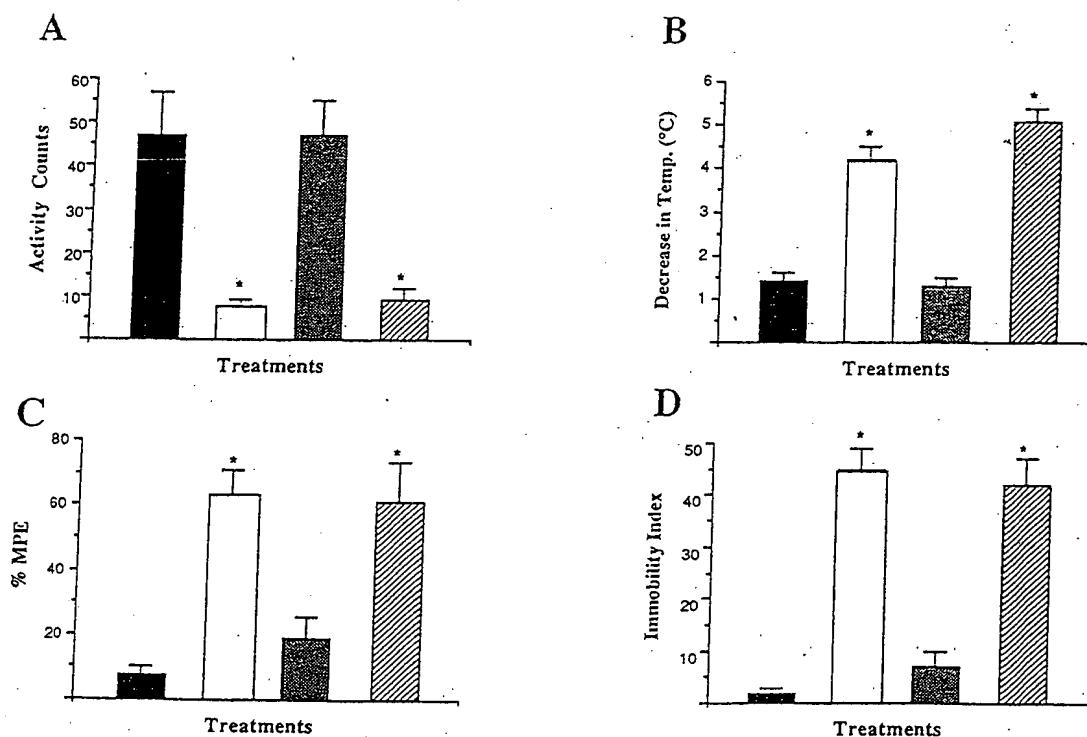


FIG. 4. Evaluation of the antagonistic potential of (+)-11-OH- $\Delta^9$ -THC-DMH. Mice received an IV injection of either vehicle or (+)-11-OH- $\Delta^9$ -THC-DMH (10 mg/kg) 10 min prior to a second IV injection of either vehicle or  $\Delta^9$ -THC (6 mg/kg) which resulted in the following four groups: vehicle + vehicle: solid black bar, vehicle +  $\Delta^9$ -THC: open bar, (+)-11-OH- $\Delta^9$ -THC-DMH + vehicle: solid gray bar and (+)-11-OH- $\Delta^9$ -THC-DMH +  $\Delta^9$ -THC: striped bar. The means  $\pm$  SE ( $N = 18$ ) are presented. Panel A represents the spontaneous activity procedure, panel B represents the hypothermic response, panel C is activity in the tail-flick procedure and panel D represents activity in the catalepsy procedure. Asterisks represent statistically ( $p < 0.05$ ) different than vehicle + vehicle controls.

TABLE 2  
THE EFFECTS OF THE ENANTIOMERS OF 11-OH- $\Delta^8$ -THC-DMH  
IN THE DOG

Score	Rating Scale*	Behavioral Effects
0	No effect	
1	Slight depression of activity, slight static ataxia after standing in one position for 3-5 min.	
2	Walks with prance-like placement of feet, exaggerated reflex to a swinging hand, static ataxia after dog standing in one position for 2-3 min.	
3	Tail is often tucked, some loss of tone in hind legs, static ataxia more pronounced and seen after standing in one position for 1-2 min.	
4	Marked static ataxia, sways forward and backward and/or side to side, almost falls after standing in one position for a minute.	
5	Cannot stand for longer than 30 sec w/o falling, dog frequently plunges about.	
6	Dog lies prostrate on the floor.	
Behavioral Effects of the Enantiomers of 11-OH- $\Delta^8$ -THC-DMH in the Dog		
Treatment	N	Rating
Vehicle	4	0
$\Delta^9$ -THC (0.2 mg/kg)	4	2
(-)-11-OH- $\Delta^8$ -THC-DMH		
3 $\mu$ g/kg	3	1 (postural effects)
5 $\mu$ g/kg	3	1 (slight ataxia)
10 $\mu$ g/kg	3	3
(+)-11-OH- $\Delta^8$ -THC-DMH		
1 $\mu$ g/kg	3	1 (sedation only)

\*Rating scale based on a modified version of the Walton Static Ataxia Scale (Dewey *et al.*, (3)).

Table 2. (-)-11-OH- $\Delta^8$ -THC-DMH produced static ataxia in the dog in a dose-related manner between 3-10  $\mu$ g/kg. At 3  $\mu$ g/kg no static ataxia was seen, however postural changes such as splaying of the hind and forelimbs were noted. At a dose of 5  $\mu$ g/kg, dogs were slightly ataxic; however, it was only after the dogs remained in one place for an extended period of time. At 10  $\mu$ g/kg static ataxia was pronounced in all dogs. In contrast, a dose of 1  $\mu$ g/kg of the (+)-enantiomer failed to produce static ataxia. Many of the dogs were sedated following treatment with the (+)-enantiomer, however there were no signs of ataxia in any of the dogs.

#### DISCUSSION

The degree of stereoselectivity and potency demonstrated with the enantiomers of 11-OH- $\Delta^8$ -THC-DMH greatly exceeded that which has been previously demonstrated for the enantiomers of  $\Delta^9$ -THC,  $\Delta^8$ -THC, and other cannabinoids in mice. (-)-11-OH- $\Delta^8$ -THC-DMH was active between 3-100  $\mu$ g/kg while the (+)-enantiomer was inactive up to 30 mg/kg in the mouse. The findings are consistent with the results found in the rotarod test and a number of antinociceptive tests in rats (11). (-)-11-OH- $\Delta^8$ -THC-DMH was also very potent in the dog static-ataxia model, which is a syndrome unique for cannabinoids and is highly predictive of the psychoactive component of the cannabinoids' pharmacological spectrum (14). The degree of stereoselectivity

and potency of the (-)-enantiomer of 11-OH-DMH- $\Delta^8$ -THC is certainly consistent with existence of a specific receptor or some other highly selective mechanism of action.

(-)-11-OH- $\Delta^8$ -THC-DMH was also a very potent cannabinoid being 775, 1143, 178, and 79 times more potent than  $\Delta^9$ -THC in decreasing spontaneous activity, and producing hypothermia, analgesia and catalepsy. The increased potency of (-)-11-OH- $\Delta^8$ -THC-DMH when compared to  $\Delta^9$ -THC can be related to the structural modifications of the former compound. 11-OH- $\Delta^9$ -THC, an active metabolite of  $\Delta^9$ -THC, is approximately 3-5 times more potent than the parent compound (15). Substitution of the pentyl side chain with a dimethylheptyl side chain dramatically increases the potency of a number of cannabinoids, including those which possess very little activity. Substitution of the pentyl side chain with a 1,1-dimethylheptyl side chain in  $\Delta^{8a-10a}$ -THC increased the potency 1000 times (8), making a relatively inactive compound 500 times more potent than  $\Delta^9$ -THC. It is likely that the high degree of stereoselectivity demonstrated with the enantiomers of 11-OH- $\Delta^8$ -THC-DMH as compared to that with the enantiomers of  $\Delta^9$ -THC is a reflection of the increased potency of the (-)-enantiomer due to the structural changes. Therefore the modest degree of stereoselectivity demonstrated with  $\Delta^9$ -THC may be the result of the relative potency of the naturally occurring (-)-enantiomer, and the enhanced stereoselectivity with the dimethylheptyl homologs is in part a reflection of the potency of the (-)-enantiomer.

The time course of the effects of (-)-11-OH- $\Delta^8$ -THC-DMH was examined in mice and compared to a dose of  $\Delta^9$ -THC that produced a similar magnitude of effects. It has been reported in monkeys and dogs that dimethylheptyl derivatives of  $\Delta^9$ -THC have very long durations of action, with overt behavioral effects lasting up to 72 hr (5). In mice, a dose of 10  $\mu$ g/kg of (-)-11-OH- $\Delta^8$ -THC-DMH had a slightly longer duration of action than 10 mg/kg of  $\Delta^9$ -THC in spontaneous activity and catalepsy, however by 8 hr mice were essentially unaffected. In the dog static-ataxia model, with a dose of 10  $\mu$ g/kg, static ataxia was observed for approximately the first 4 hr after which sedation was pronounced. Approximately 12 hr later the dogs appeared normal. The duration of action of the dimethylheptyl analogs is most likely related to dose. A dose of 100  $\mu$ g/kg produced long-lasting (72 hr) effects in the dog, however when the dose was reduced to 40  $\mu$ g/kg observable effects were noted for only 5 hr (5). There are many possible reasons that high doses of dimethylheptyl derivatives of cannabinoids exert long-lasting effects. It may be due to pharmacokinetic factors such as increased storage in fat depots due to an increase in lipophilicity, differences in plasma protein binding characteristics, or in metabolism, etc. On the other hand, it may be that the alkyl side chain serves as an anchor to orient the cannabinoid at its site of action, and a branched aliphatic chain such as a dimethylheptyl has a higher affinity for this site than an unbranched aliphatic side chain.

The lack of a specific antagonist of  $\Delta^9$ -THC has greatly hampered the elucidation of the mechanisms of action of the cannabinoids. (+)-11-OH- $\Delta^8$ -THC-DMH was tested for its ability to block the effects of  $\Delta^9$ -THC in mice. There was no attenuation of the effects of  $\Delta^9$ -THC by pretreatment with (+)-11-OH- $\Delta^8$ -THC-DMH, in fact there was a slight increase (approximately 18%) in the degree of hypothermia and analgesia produced when both compounds were administered suggesting that the (+)-enantiomer may have some weak agonist properties. The (+)-enantiomer was shown to possess analgetic properties in mice and rats when administered in the presence of cupric chloride (11), however we failed to demonstrate antinociceptive activity both in the absence and presence of cupric chloride.

In conclusion, the degree of stereoselectivity demonstrated with the enantiomers of 11-OH- $\Delta^8$ -THC-DMH greatly exceeded

that which had been demonstrated previously for the cannabinoids. The degree of stereoselectivity is on the order of that demonstrated with the opiates, a well defined receptor system (6). It is likely that the extreme potency of (-)-11-OH- $\Delta^8$ -THC-DMH unmasks the true stereoselectivity of the cannabinoids. The stereoselectivity

and the potency of the (-)-enantiomer is certainly consistent with the existence of a specific receptor for the cannabinoids which is involved in the mediation of some of the pharmacological effects of the cannabinoids.

#### REFERENCES

1. D'Amour, F. E.; Smith, D. L. A method for determining loss of pain sensation. *J. Pharmacol. Exp. Ther.* 72:74-79; 1941.
2. Dewey, W. L.; Harris, L. S.; Howes, J. F.; Nuite, J. A. The effect of various neurohumoral modulators on the activity of morphine and the narcotic antagonists in the tail-flick and phenylquinone test. *J. Pharmacol. Exp. Ther.* 175:435-442; 1970.
3. Dewey, W. L.; Jenkins, J.; O'Rourke, T.; Harris, L. S. The effects of chronic administration of trans- $\Delta^9$ -tetrahydrocannabinol on behavior and the cardiovascular system of dogs. *Arch. Int. Pharmacodyn.* 198:118-131; 1972.
4. Dewey, W. L.; Martin, B. R.; May, E. L. Cannabinoid stereoisomers: pharmacological effects. In: Smith, D. F., ed. *Handbook of stereoisomers: Drugs in psychopharmacology*. Boca Raton: CRC Press; 1984:317-326.
5. Edery, H.; Grunfeld, Y.; Ben-Zvi, Z.; Shani, A.; Mechoulam, R. Structure-activity relationships in the tetrahydrocannabinol series. *Arzneimittelforschung* 22:1995-2003; 1972.
6. Jacquet, Y. F.; Klee, W. A.; Rice, K. C.; Iijima, I.; Minamikawa, J. Stereospecific and nonstereospecific effects of (+)- and (-)-morphine: evidence for a new class of receptors? *Science* 198:842-845; 1977.
7. Litchfield, L. T.; Wilcoxon, F. A. A simplified method of evaluating dose effect experiments. *J. Pharmacol. Exp. Ther.* 96:99-113; 1949.
8. Loev, B.; Bender, P. E.; Dowalo, F.; Macko, E.; Fowler, P. J. Cannabinoids: structure-activity studies related to 1,2-dimethylheptyl derivatives. *J. Med. Chem.* 16:1200-1206; 1973.
9. Martin, B. R.; Tripathi, H. L.; Aceto, M. D.; May, E. L. Relationship of the biodisposition of the stereoisomers of nicotine in the central nervous system to their pharmacological actions. *J. Pharmacol. Exp. Ther.* 226:157-163; 1983.
10. Martin, B. R. Cellular effects of cannabinoids. *Pharmacol. Rev.* 38:45-74; 1986.
11. Mechoulam, R.; Lander, N.; Srebnik, M.; Breuer, A.; Segal, M.; Feigenbaum, J. J.; Jarbe, T. U. C.; Consroe, P. Stereochemical requirements for cannabimimetic activity. *NIDA Res. Monogr. Ser.* 79:15-30; 1987.
12. Nye, J. S.; Seltzman, H. H.; Pitt, C. G.; Snyder, S. H. High-affinity cannabinoid binding sites in brain membranes labeled with [<sup>3</sup>H]-5'-trimethylammonium  $\Delta^9$ -tetrahydrocannabinol. *J. Pharmacol. Exp. Ther.* 234:784-791; 1985.
13. Pertwee, R. G. The ring test: a quantitative method for assessing the "cataleptic" effect of cannabis in mice. *Br. J. Pharmacol.* 46: 753-763; 1972.
14. Razdan, R. K. Structure-activity relationships in cannabinoids. *Pharmacol. Rev.* 38:75-149; 1986.
15. Wilson, R. S.; May, E. L. Analgesic properties of the tetrahydrocannabinols, their metabolites and analogs. *J. Med. Chem.* 18:700-703; 1975.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**